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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Kauffman, et al. Confirmation No.: 3692
Appl No.: 09/868,981 Group Art Unit: 3692
Filed: June 25, 2002 Examiner: Lindsay M. Maguire
Title: A SYSTEM AND METHOD FOR THE ANALYSIS AND PREDICTION
OF ECONOMIC MARKETS

REQUEST FOR REFUND IN U.S. PATENT APPLICATION NO. 09/868,981

Mail Stop 16
Attention: Refund Branch
Director of the US Patent & Trademark Office
PO Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

The issue fee for the above-referenced application was electronically filed and paid by electronic fund transfer (EFT) on March 11, 2010 at the large entity rate of \$1,510.

The issue fee was calculated at the "Large Entity" rate. Subsequent to this payment of the issue fee, it has come to our attention that fees for this application should have been calculated using the status of "Small Entity", thereby reducing the issue fee to \$755.

The change of entity status is being filed concurrently with this Request for Refund on April 19, 2010.

In light of the above, the applicant respectfully requests that the Commissioner refund the amount of \$755 to our Deposit Account No. 13-4365 in the name of Moore & Van Allen PLLC.

If any other issues remain outstanding or if further documentation is required, the Office is respectfully requested to contact the undersigned at 704-331-1027, so that such issues may be promptly resolved.

Respectfully submitted,

/Henry B. Ward, III/

Henry B. Ward, III
Registration No. 42,212

CUSTOMER NUMBER 24239
MOORE & VAN ALLEN PLLC
430 Davis Drive, Suite 500
Post Office Box 13706
Research Triangle Park, NC 27709
Tel Charlotte Office (704) 331-1027
Fax Charlotte Office (704) 339-5800

Electronically filed via the EFS-Web Electronic Filing System of the United States Patent and Trademark Office on April 19, 2010.